



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): YASUDA et al.

Serial No.: 10/581,922

Filed: June 7, 2006

Title: **CAPSULE-CONTAINING ORAL  
COMPOSITION**

Atty. Dkt.: VX062742 PCT

Group Art Unit: 1615

Examiner: Unknown

**Mail Stop: Office of Initial Patent  
Examination Filing Receipt Corrections**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Date: February 13, 2007**

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

Please correct the filing receipt (copy of original filing receipt with indicated corrections) for the above-referenced patent application, and generate a corrected filing receipt.

Please correct the foreign application information to reflect the following correction:


**JAPAN 2003-436434 12/9/2003**

Copies of the following are attached herewith for your reference:

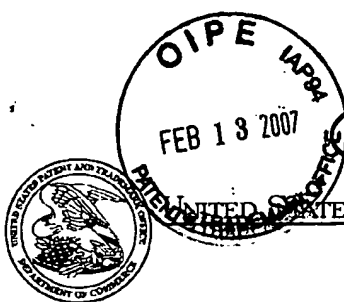
- A copy of as-filed Application Data Sheet;
- A copy of as-filed Declaration/Power of Attorney, and
- A copy of the official Filing Receipt with indicated corrections.

Please charge any unforeseen fees that may be due to Deposit Account No. 50-1147.

Respectfully submitted,

  
R. Eugene Varndell, Jr.  
Reg. No. 29,728

Posz Law Group, PLC  
12040 South Lakes Drive, Suite 101  
Reston, VA 20191  
Phone 703-707-9110  
Fax 703-707-9112  
Customer No. 23400



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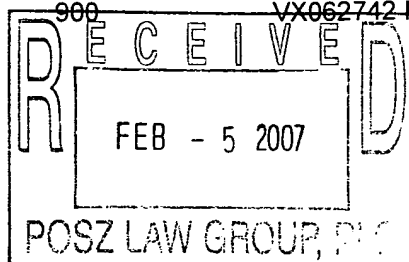
y/bm

UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPL NO.   | FILING OR 371-<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|----------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/581,922 | 06/07/2006                 | 1615     | 900           | VX062742 PCT    |          | 10       | 1        |

23400  
 POSZ LAW GROUP, PLC  
 12040 SOUTH LAKES DRIVE  
 SUITE 101  
 RESTON, VA 20191



CONFIRMATION NO. 1862

FILING RECEIPT



\*OC00000022265559\*

Date Mailed: 02/02/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Naomi Yasuda, Osaka, JAPAN;  
 Atsushi Inoue, Osaka, JAPAN;

**Assignment For Published Patent Application**

Sunstar Inc., Osaka, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 23400.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/18206 12/07/2004

**Foreign Applications**

*Should be:*  
 → JAPAN 2003-436434 12/9/2003

If Required, Foreign Filing License Granted: 01/31/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/581,922**

Projected Publication Date: 05/10/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Capsule-containing oral composition

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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Application Data Sheet

Application Information

Application number: NEW  
Filing Date: June 7, 2006  
Application Type: PCT NATIONAL STAGE  
Title: CAPSULE-CONTAINING ORAL COMPOSITION  
Attorney Docket Number: VX062742 PCT

Applicant Information

Inventor No. 1:

Applicant Authority Type: Inventor  
Primary Citizenship Country: Japan  
Status: Full Capacity  
Given Name: Naomi  
Family Name: YASUDA  
City of Residence: Osaka  
Country of Residence: Japan  
Street of mailing address: c/o Hamuro R&D Center of SUNSTAR INC.  
5-30-1, Kamihamuro, Takatsuki-shi  
City of mailing address: Osaka  
Country of mailing address: Japan  
Postal or Zip Code of mailing address: 5691044

Inventor No. 2:

Applicant Authority Type: Inventor  
Primary Citizenship Country: Japan  
Status: Full Capacity  
Given Name: Atsushi  
Family Name: INOUE

**City of Residence:** Osaka  
**Country of Residence:** Japan  
**Street of mailing address:** c/o Hamuro R&D Center of SUNSTAR INC.  
5-30-1, Kamihamuro, Takatsuki-shi  
**City of mailing address:** Osaka  
**Country of mailing address:** Japan  
**Postal or Zip Code of mailing address:** 5691044

**Assignee Information**

**Assignee**

**Name of the Assignee:** SUNSTAR INC.  
**Street of mailing address:** 3-1, Asahimachi, Takatsuki-shi  
**City of mailing address:** Osaka  
**Country of mailing address:** Japan  
**Postal or Zip Code of mailing address:** 5691195

**Correspondence Information**

**Correspondence Customer Number:** 23400

**Representative Information**

**Representative Customer Number:** 23400

**Priority Information**

|                     |                         |                            |                            |
|---------------------|-------------------------|----------------------------|----------------------------|
| <b>Application:</b> | <b>Continuity type:</b> | <b>Parent Application:</b> | <b>Parent Filing Date:</b> |
| This Application    | National Stage          | PCT/JP2004/018206          | December 7, 2004           |

**Foreign Priority Information**

|                 |                            |                     |                          |
|-----------------|----------------------------|---------------------|--------------------------|
| <b>Country:</b> | <b>Application Number:</b> | <b>Filing Date:</b> | <b>Priority Claimed:</b> |
| JAPAN           | 2003-436434                | December 9, 2003    | YES                      |



COPY

Declaration and Power of Attorney for Patent Application  
 特許出願宣誓書及び委任状  
 Japanese Language Declaration  
 日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、郵便住所、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して特許請求の範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者(下記の氏名が一つの場合)もしくは最初かつ共同発明者であると(下記の名称が複数の場合)信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CAPSULE-CONTAINING ORAL COMPOSITION

上記発明の明細書(下記の欄で×印がついていない場合は、本書に添付)は、

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_に提出され、米  
 国出願番号または PCT 国際出願番号を  
 \_\_\_\_\_とし、  
 (該当する場合) \_\_\_\_\_に補正されました。

☒ was filed on December 7, 2004  
 as United States Application Number or PCT  
 International Application Number PCT/JP2004/018206  
 and was amended on \_\_\_\_\_  
 (if applicable).

私は、特許請求範囲を含む上記補正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1.56項に規定されるとおり、特許性の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

私は、以下に記載する特許もしくは発明者証の外国出願について米国法典第35編119条(a)-(d)項又は365条(b)項に基づく外国優先権を、又は以下に記載する米国以外の国の少なくとも一カ国を指定している PCT 国際出願について米国法典第35編365(a)項に基づく外国優先権をここに主張するとともに、優先権を主張している本出願の前に出願された特許もしくは発明者証の外国出願又は PCT 国際出願を、枠内をマークすることで以下に示します。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Japanese Language Declaration  
(日本語宣言書)

Prior Foreign Application(s)

Priority Not Claimed

外国での先行出願

(優先権主張なし)

|    |               |                |                        |                          |
|----|---------------|----------------|------------------------|--------------------------|
| 1. | 2003-436434   | Japan          | 09/12/2003             | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 2. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 3. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 4. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 5. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 6. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |
| 7. |               |                |                        | <input type="checkbox"/> |
|    | (Number) (番号) | (Country) (国名) | (Day/Month/Year Filed) | (出願年月日)                  |

☐ Additional Foreign Application(s) is(are) listed on the attached sheet which is incorporated herein by reference.

私は、下記の米国仮特許出願について第35編米国法典119条(e)項に基づく権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

|                   |               |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号)            | (出願日)         |

私は、下記の米国特許出願について米国法典第35編120条に基づく権利、又は米国を指定している下記のPCT国際出願について米国法典第35編365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1段で規定された方法で先行する米国特許出願又はPCT国際出願に開示されていない限り、その先行出願の出願日以降で当該国内出願又はPCT国際出願の出願日までの期間中に入手された、連邦規則法典第37編1.56項で定義された特許性の有無に関する重要な情報について開示義務があることを認識しています。

|                 |             |
|-----------------|-------------|
| Application No. | Filing Date |
| (出願番号)          | (出願日)       |

|                   |               |
|-------------------|---------------|
| (Application No.) | (Filing Date) |
| (出願番号)            | (出願日)         |

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

|          |           |          |           |
|----------|-----------|----------|-----------|
| Status : | Patented, | Pending, | Abandoned |
| (現況)     | (特許許可済)   | (係属中)    | (放棄済)     |



Japanese Language Declaration  
(日本語宣言書)

私は、私自身の知識に基づいて本宣言書中で私が行う表明が真実であり、かつ私の入手した情報と私の信じることに基く表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第18編第1001条に基づき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行えば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

委任状： 私は下記の発明者として、本出願に関する一切の事務を米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。(弁護士、または代理人の氏名及び登録番号を明記のこと)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

書類送付先 : (Send Correspondence to) PTO Customer No. 23400  
Posz Law Group, PLC

|  |
|--|
| 唯一または第一発明者 (Full name of sole or first inventor) YASUDA Naomi  |
| 発明者の署名 (Inventor's Signature)<br><i>Yasuda Naomi</i>   |
| 日付 (Date) May 25, 2006   |
| 住所 (Residence) Takatsuki-shi, Osaka 5691044, Japan   |
| 国籍 (Citizenship) Japan   |
| 郵便住所 (Post Office Address)<br>c/o Hamuro R&D Center of SUNSTAR INC., 5-30-1, Kamihamuro, Takatsuki-shi, Osaka 5691044, Japan |

|  |
|--|
| 第二共同発明者 (Full name of second joint inventor) INOUE Atsushi   |
| 発明者の署名 (Inventor's Signature)<br><i>Atsushi Inoue</i>  |
| 日付 (Date) May 25, 2006   |
| 住所 (Residence) Takatsuki-shi, Osaka 5691044, Japan   |
| 国籍 (Citizenship) Japan   |
| 郵便住所 (Post Office Address)<br>c/o Hamuro R&D Center of SUNSTAR INC., 5-30-1, Kamihamuro, Takatsuki-shi, Osaka 5691044, Japan |

☐ Additional Inventor(s) is (are) listed on the attached sheet which is incorporated herein by reference.

|  |                      |               |
|--|----------------------|---------------|
| <h1 style="margin: 0;">TRANSMITTAL</h1> <h2 style="margin: 0;">FORM</h2> <p style="font-size: small; margin: 0;">(to be used for all correspondence after initial filing)</p> <div style="border: 1px solid black; border-radius: 50%; width: 100px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <div style="text-align: center;"> <p style="margin: 0;">FEB 13 2007</p> <p style="margin: 0; font-size: x-small;">PATENT &amp; TRADEMARK OFFICE</p> </div> </div> | Application Number   | 10/581,922    |
|  | Filing Date          | June 7, 2006  |
|  | First Named Inventor | YASUDA et al. |
|  | Group Art Unit       | 1615          |
|  | Examiner Name        | Unknown       |
| Attorney Docket Number   |                      | VX062742 PCT  |

| ENCLOSURES (check all that apply)  |   |  |                  |
|--|---|--|------------------|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition<br><input type="checkbox"/> To Convert a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request of Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): | *<br>*<br>*<br>* |
|  |   | Request for Corrected Filing Receipt   |                  |
|  |   | Copy of as-filed Application Data Sheet  |                  |
|  |   | Copy of as-filed Declaration and Power of Attorney   |                  |
|  |   | Copy of original Filing Receipt with correction  |                  |
| Remarks  |   |  |                  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Posz Law Group, PLC<br>R. Eugene Varndell, Jr. (Reg. No. 29,728) |
| Signature                                  |  |
| Date                                       | February 13, 2007  |